



Sector B

Docket No. 2000-022R1
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 7-30-01

BY:

Renee Lamantia
Renee Lamantia

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bergh et al.

Serial No.: 09/801, 390

Group Art Unit: 1764

Filed: March 7, 2001

For: Parallel Flow Process Optimization
Reactor

Examiner: Unknown

Assistant Commissioner for Patents
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- | | |
|---|---|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment A | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2) |
| <input type="checkbox"/> Response/Amendment After Final | <input checked="" type="checkbox"/> Formal Drawings |
| <input type="checkbox"/> Supplemental Amendment | <input type="checkbox"/> Declaration Under 37 CFR 1.131 |
| <input type="checkbox"/> Affidavits/Declarations | <input type="checkbox"/> Declaration Under 37 CFR 1.132 |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Supplemental Declaration | <input type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> Power of Attorney | <input checked="" type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Change of Correspondence Address | <input type="checkbox"/> Appeal |
| <input type="checkbox"/> Associate Power of Attorney | <input checked="" type="checkbox"/> Petition to Correct Date-In |
| <input checked="" type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> Status Letter |

to be filed in the above-identified patent application.

RECEIVED

SEP 26 2001

OFFICE OF PETITIONS

TOTAL AMOUNT OF PAYMENT: \$ 65.00
METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1.EXTRA CLAIM FEES

	Extra Claims				Fee from below		Fee Paid
Total Claims	31	-23**	=	18	X	9	.00
Independent Claims	3	-3**	=	0	X		
Multiple Dependent Claims (first appearance) \$260/130							.00

**or number previously paid, if greater; For Reissues, see below

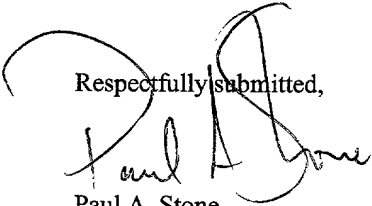
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) (\$).00

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	65.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	180	122	180	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
SUBTOTAL (3)					(\$ 65.00

*Reduced by Basic Filing Fee Paid

Respectfully submitted,


 Paul A. Stone
 Reg. No. 38, 628
 Attorney for Applicant(s)

Date: 7.27.01

 Symyx Technologies
 3100 Central Expressway
 Santa Clara, California 95051
 Tel.: (408) 764-2000 Fax: (408) 773-4029



#3

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Filed: March 7, 2001
For: Parallel Flow Process Optimization
Reactor

Group Art Unit: 1764

Examiner: Unknown

Assistant Commissioner
For Patents
Washington D.C. 20231
Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Dear Sir:

In response to the Notice of Missing Parts mailed 07/11/2001 for the above-referenced nonprovisional application, please find enclosed the signed oath and declaration and formal drawings

In addition, the Assistant Commissioner is hereby authorized to charge the balance due of \$65.00 to deposit account 50-0496.

If necessary, the Examiner is authorized to charge any additional required fee to Deposit Account 50-0496.

Respectfully submitted,

Date: 7.27.01

Paul A. Stone
Paul A. Stone
Registration No. 38,628
Attorney for Applicants

Symyx Technologies, Inc.
3100 Central Expressway
Santa Clara, CA 95051
Tel: (408) 764-2000 Fax: (408) 773-4029

RECEIVED

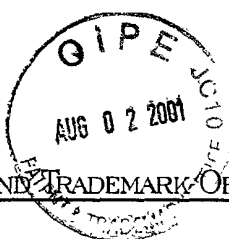
SEP 26 2001

OFFICE OF PETITIONS

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UNITED STATES PATENT AND TRADEMARK OFFICE


 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D C 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,390	03/08/2001	H. Sam Bergh	2000-022

CONFIRMATION NO. 4042

 22905
 SYMYX TECHNOLOGIES INC
 LEGAL DEPARTMENT
 3100 CENTRAL EXPRESS
 SANTA CLARA, CA 95051

FORMALITIES LETTER



OC000000006285447

Date Mailed: 07/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

08/06/2001 BNGUYEN1 00000003 500496 09801390

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A T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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